

TITLE Policy – Donations and Sponsorships	APPROVING BODY Board of Directors
ISSUING BUSINESS UNIT Communications	DATE 2023-12-08

RELATED LEGISLATION, POLICIES AND GUIDELINES
None

OBJECTIVES

- Identify priority sectors for Caisse de dépôt et placement du Québec (“La Caisse”) and the process for allocating donations and sponsorships.
- Set out eligibility, evaluation and exclusion criteria for requests.

1. General framework

La Caisse’s philanthropic activity in Québec is based on its desire to provide relevant and lasting assistance by investing in the community. By offering financial support, in the form of a donation or a sponsorship, La Caisse takes an approach based on its vision of being a source of constructive capital built upon its fundamental values of ethics and rigour, and on the expertise of its teams.

2. Guiding principles

- La Caisse focuses its philanthropic activity on solving existing social challenges.
- La Caisse seeks to play a uniting role by rallying employees, organizations and the business community and by building lasting partnerships.
- Its activities are not limited to a financial contribution in the form of a donation or sponsorship. It can also include partnerships and sharing financial or organizational resources, as well as sharing knowledge and La Caisse’s networks. It also takes into account its business priorities.
- The goal of sponsorships is to contribute to the vitality of the business community while extending the reach of La Caisse’s areas of expertise.

3. Priority sectors

3.1. Philanthropy

La Caisse pursues its philanthropic activities in the four following priority sectors:

The community, with a particular focus on:

- Vulnerable populations
- School perseverance
- Access to culture

Health, with a particular focus on:

- Research
- Technological innovation

Sustainable development, with a particular focus on:

- The fight against climate change
- Diversity, equity and inclusion

Academics, with a particular focus on:

- Research chairs or projects aligned with La Caisse's business priorities

3.2. Sponsorships

La Caisse supports initiatives that raise awareness on its areas of expertise:

- We work alongside numerous associations, primarily in the fields of finance and economics.
- We primarily support initiatives, events or projects that are aligned with La Caisse's business priorities.

4. Criteria for evaluating requests

La Caisse supports a limited number of initiatives that are subject to prior analysis that takes into account the priority sectors set out in section 3 of the policy or other sectors that are compatible with its mission.

In its analysis, La Caisse will prioritize the requests that, in addition to being included in its priority sectors, meet one or more of the following criteria:

Equity, Diversity and Inclusion

- Contribute to building stronger communities and inclusive neighbourhoods, and promote equal opportunity.

Environmentally responsible practices

- Contribute to sustainable development, the fight against climate change and the conversation on the environment.

Innovation

- Develop innovative practices to facilitate the resolution of problems communities face.

5. Exclusions

Donations and sponsorships will not be considered for the following:

- Public or private elementary, secondary or college-level educational organizations
- Sporting (elite sports, high-level sports), religious or political organizations
- Organizations having already received financial support from La Caisse in the same year, unless more than one event is stipulated in a partnership agreement
- Unions

Donations and sponsorships will not be considered for the following:

- International missions
- Design, construction or renovation of buildings
- Writing or creating a website
- Personal in memoriam donations
- Projects related to student life or organized by a student association

- Personal projects

6. Eligibility criteria

For a donation application to be considered by La Caisse, the requesting organization must:

- Be duly registered as a non-profit organization
- Possess financial statements in due form
- Have a clearly defined mission and objectives
- Possess a solid governance structure

For a sponsorship request to be considered by La Caisse, the requesting organization must:

- Possess financial statements in due form
- Have a clearly defined mission and objectives
- Possess a solid governance structure

7. Process for analyzing requests

The process for analyzing requests includes the following steps:

7.1. Request

- If the philanthropic or sponsorship request is in one of the priority sectors, the requesting organization must fill out the online form at:
<https://www.lacaisse.com/en/approach/donations-sponsorships>.
- The request must be submitted at least 60 days prior to the activity in question, if applicable.
- In the interest of fairness, all new requests made following an initial three-year agreement will be processed at the end of the financial year based on funds available in the budgetary envelope.

7.2. Analysis and approval

- Requests are analyzed in accordance with the priority sectors and evaluation criteria set out in the policy.
- At any point in the analysis, the Donations and Sponsorships Committee may request additional information in order to prepare its recommendations, in accordance with the policy rules and available financial resources.
- Analyzed requests are submitted to a committee for approval. A second level of approval may be necessary based on the nature of the donation or sponsorship request. In such a case, the request will be submitted to a second-level approval committee.
- Unless otherwise stated, the funds disbursed as part of this policy must be used in the year for which they were allocated.
- The awarding of a donation or sponsorship by La Caisse to an organization in a given sector does not oblige it to support other organizations in the same sector.
- La Caisse reserves the right to refuse any request, even if it was accepted in the past.
- No agreement shall be automatically renewed. All renewal requests are subject to a new analysis.

7.3. Response to the requesting organization

A written response stating La Caisse's decision is sent to the requesting organization within 30 business days of receipt of the request.

7.4. Agreement

All approved requests are subject to an agreement co-signed by La Caisse and the organization in question.

If the agreement is for more than one year, it will have a maximum duration of three years.

7.5. Monitoring

Based on the terms of the agreement, La Caisse could require a report on the use of the funds or a presentation of the project's impact.

8. Other types of contributions

To encourage its employees to actively participate and volunteer, La Caisse may also support organizations with which its employees work through other relevant programs. Eligible organizations must comply with the criteria in this policy and not be subject to any inclusions contained in it.

Computer equipment donations are governed by the Sustainable Development Action Plan.

9. Governance

On the recommendation of the Governance and Ethics Committee, the Board of Directors approves this policy. The Executive Committee recommends this policy to the Governance and Ethics Committee.

The Communications Executive Vice-President (EVP) group is responsible for managing La Caisse's donations and sponsorships and the application of this policy.

10. Budget

The annual budget is approved by the Board of Directors.

The Communications EVP group reports annually to the Governance and Ethics Committee on how this budget has been used.

11. Review

This Directive must be reviewed at least every three years.

12. Distribution of the policy

This policy is available on La Caisse's website at www.lacaisse.com.